



Original Research

Clustering of EORTC QLQ-C30 health-related quality of life scales across several cancer types: Validation study



Abigirl Machingura ^{a,*}, Mekdes Taye ^a, Jammbe Musoro ^a, Jolie Ringash ^c, Madeline Pe ^a, Corneel Coens ^a, Francesca Martinelli ^a, Dongsheng Tu ^d, Ethan Basch ^e, Yvonne Brandberg ^f, Mogens Grønvold ^g, Alexander Eggermont ^{h,i}, Fatima Cardoso ^j, Jan Van Meerbeeck ^k, Winette T.A. van der Graaf ^{l,m}, Martin Taphoorn ^o, Jaap C. Reijneveld ^q, Riccardo Soffiatti ^p, Jeff Sloan ^r, Galina Velikova ^b, Henning Flechtner ⁿ, Andrew Bottomley ^a on behalf of the EORTC Quality of Life Group, Brain tumour, Breast Cancer, Melanoma Group, Lung Cancer, Soft Tissue and Bone Sarcoma, Lymphoma, Gastrointestinal Tract Cancer, Head and Neck Cancer, Genito-Urinary Cancers, and Gynecological Cancer Groups

^a European Organization for Research and Treatment of Cancer (EORTC), Brussels, Belgium

^b Leeds Institute of Medical Research at St James's University, University of Leeds and Leeds Cancer Centre, Leeds Teaching Hospitals NHS Trust, St James's University Hospital, Leeds, UK

^c Princess Margaret Cancer Centre and the University of Toronto, The Canadian Cancer Trials Group (CCTG), Canada

^d Departments of Public Health Sciences, And Mathematics & Statistics, Queen's University, Canada

^e Lineberger Comprehensive Cancer Center, UNC, USA

^f Department of Oncology-Pathology, Karolinska Institutet, Sweden

^g Department of Public Health, University of Copenhagen, Denmark

^h Princess Máxima Center, Utrecht and University Medical Center Utrecht, the Netherlands

ⁱ Comprehensive Cancer Center Munich, Germany

^j Breast Unit, Champalimaud Clinical Center/Champalimaud Foundation, Lisbon, Portugal

^k Antwerp University and Antwerp University Hospital, Edegem, Belgium

^l Department of Medical Oncology, Netherlands Cancer Institute Amsterdam, the Netherlands

^m Department of Medical Oncology Erasmus MC Cancer Institute, Erasmus MC, Rotterdam, the Netherlands

ⁿ Department of Child and Adolescent Psychiatry, Otto-von-Guericke-University Magdeburg, Magdeburg, Germany

^o Leiden University Medical Center, Leiden and Haaglanden Medical Center, The Hague, the Netherlands

^p Division of Neuro-Oncology, Department of Neuroscience, University and City of Health and Science University Hospital, Turin, Italy

^q Department of Neurology, Amsterdam University Medical Centre, Amsterdam, Netherlands & SEIN, Heemstede, Netherlands

^r Department of Health Science Research, Mayo Clinic, Rochester, MN, USA

Received 1 February 2022; received in revised form 18 March 2022; accepted 30 March 2022

Available online 13 May 2022

* Corresponding author: Quality of Life Department, European Organization for Research and Treatment of Cancer, 83/11 Avenue E. Mounier, 1200 Brussels, Belgium.

E-mail address: abigirl.machingura@eortc.be (A. Machingura).

<https://doi.org/10.1016/j.ejca.2022.03.039>

0959-8049/© 2022 Elsevier Ltd. All rights reserved.

KEYWORDS

Health-related quality of life (HRQoL); Randomized clinical trials (RCTs); Patient reported outcomes; Cluster analysis; European Organisation for Research and Treatment of Cancer Quality of Life Core Questionnaire (QLQ-C30)

Abstract Introduction: The European Organisation for Research and Treatment of Cancer Quality of Life Core Questionnaire (EORTC QLQ-C30) measures 15 health-related quality of life (HRQoL) scales relevant to the disease and treatment of patients with cancer. A study by Martinelli (2011) demonstrated that these scales could be grouped into three main clusters: physical, psychological and gastrointestinal. This study aims to validate Martinelli's findings in an independent dataset and evaluate whether these clusters are consistent across cancer types and patient characteristics.

Methods: Pre-defined criteria for successful validation were three main clusters should emerge with a minimum R-squared value of 0.51 using pooled baseline-data. A cluster analysis was performed on the 15 QLQ-C30 HRQoL-scales in the overall dataset, as well as by cancer type and selected patient characteristics to examine the robustness of the results.

Results: The dataset consisted of 20,066 patients pooled across 17 cancer types. Overall, three main clusters were identified ($R^2 = 0.61$); *physical-cluster* included role-functioning, physical-functioning, social-functioning, fatigue, pain, and global-health status; *psychological-cluster* included emotional-functioning, cognitive-functioning, and insomnia; *gastro-intestinal-cluster* included nausea/vomiting and appetite loss. The results were consistent across different levels of disease severity, socio-demographic and clinical characteristics with minor variations by cancer type. Global-health status was found to be strongly linked to the scales included in the physical-functioning-related cluster.

Conclusion: This study successfully validated prior findings by Martinelli (2011): the QLQ-C30 scales are interrelated and can be grouped into three main clusters. Knowing how these multidimensional HRQoL scales are related to each other can help clinicians and patients with cancer in managing symptom burden, guide policymakers in defining social-support plans and inform selection of HRQoL scales in future clinical trials.

© 2022 Elsevier Ltd. All rights reserved.

1. Introduction

Treatment efficacy is usually the main goal in cancer clinical trials and is often measured in terms of patient survival. Almost every anti-cancer therapeutic strategy that has an intention to cure interferes with the integrity of the body in some way. Thus, patients with cancer often experience multiple symptoms resulting from associated treatments and the disease itself [1]. These may affect the functioning and well-being of a patient resulting in poor quality of life. Even though survival end-points remain the most used primary end-points of interest in cancer clinical trials, health-related quality of life (HRQoL) is now increasingly considered as an important secondary or co-primary end-point for assessing clinical benefit of treatment [2–4].

HRQoL is a multidimensional concept that refers to the patient's subjective perception of the impact of the disease and treatments on the physical, psychological and social aspects of daily life [5]. A comprehensive approach is required to design, analyze and interpret results [5–8]. Due to the multi-dimensionality of HRQoL outcomes, it is likely that these outcomes are interrelated. Thus, it is informative to assess the existence of clusters so that individual symptoms or outcomes can act as indicators for co-occurring problems otherwise not detected [9]. This will also aid in selecting outcomes of interest in assessing HRQoL in cancer clinical trials.

Furthermore, several studies have shown that cancer symptoms are inter-related and often occur in clusters [10–12]. For instance, Walsh *et al.* (2006) identified seven clusters in the analysis of 25 symptoms assessed using a 38-symptom checklist in patients with advanced cancer using hierarchical cluster analysis [10]. Chow *et al.* (2008) identified 3 symptom clusters at baseline in patients with brain metastases before and after radiotherapy indicating the robust existence of interrelationships between the symptoms [11]. A literature review on symptom clusters in patients with cancer identified various clusters within the selected 7 studies [12]. Furthermore, a study by Gundy *et al.* investigated the statistical fit of 6 higher order models for summarising QLQ-C30 HRQoL questionnaire using the confirmatory factor analysis and found that the physical/mental health model had the best fit [22].

It is worth noting that the characterisation of symptom clusters often focuses on patient symptoms and seldom incorporates other aspects of HRQoL that cover patients' functioning abilities, which are equally important in managing patients with cancer [15]. HRQoL indicators, such as physical, emotional, social, cognitive and role functioning, have also been shown to be inter-related and to be correlated with various symptom scales (e.g. physical-functioning vs pain), as well as being predictive of survival in cancer clinical trials [13,14]. This reiterates the need to have a more

holistic picture of the interrelationships among the various HRQoL indicators, which will better inform our choices on effective patient management strategies.

Martinelli *et al.* (2011) explored the way in which HRQoL scales, measured by the European Organisation for Research and Treatment of Cancer Quality of Life Core Questionnaire (EORTC QLQ-C30), cluster among patients with cancer and how possible clusters depend on different socio-demographic and clinical characteristics. The study also identified HRQoL scales that are related to patients' evaluation of their own overall quality of life as assessed by the global-health status scale of the QLQ-C30. The study demonstrated that the 15 HRQoL scales are inter-related and could be grouped into three main clusters. The same clusters were reproduced across different sociodemographic and clinical characteristics with minor variations among cancer types [15].

However, to increase the confidence in using these exploratory findings in clinical research, it is important to critically evaluate the robustness and generalisability of these findings with an independent dataset. This study aims to perform a validation of these findings in an independent dataset of patients treated on clinical trials and evaluate whether these clusters are consistent across different cancer types and other patient characteristics using a similar methodology. A secondary objective of this study is to find out which HRQoL scales are strongly linked to the global-health status that measures the overall HRQoL of a patient.

2. Materials and methods

2.1. Data description

Published clinical trial data for this study were obtained from the European Organisation for Research and Treatment of Cancer (EORTC), Project Data Sphere [16], Mayo Clinic and Canadian Cancer Trials Group databases. Baseline data were pooled across 55 clinical trials that assessed HRQoL using the EORTC QLQ-C30 across 17 cancer types. None of these trials were previously used in the Martinelli *et al.* (2011) analyses. Patients' socio-demographic and clinical data of interest included gender, metastatic disease status, disease stage, WHO performance status (WHO PS), prior treatment status and patient's age.

2.2. The EORTC QLQ-C30

Patients' HRQoL was assessed using the EORTC QLQ-C30 version 3, which is one of the most widely used questionnaires for assessing the quality of life of patients with cancer. The reliability and validity of the QLQ-C30 are highly consistent across different language and cultural groups and the questionnaire has been translated into more than 110 different languages [17,18]. The

QLQ-C30 consists of 30 items which are grouped into five functional scales (physical, role, emotional, social and cognitive functioning), three symptom scales (fatigue, nausea/vomiting and pain), six single-item scales (dyspnea, insomnia, appetite loss, constipation, diarrhoea and financial difficulties) and one global-health status scale (GHS). The QLQ-C30 scales are scored according to a standard scoring manual [19], with the scores for each scale ranging from 0 to 100. For the functioning scales and GHS, higher scores represent a higher degree of functioning while the higher the score for symptom scales, the higher the level of symptom burden.

2.3. Statistical analysis

Patients' socio-demographic, clinical and HRQoL data at baseline were summarised using descriptive statistics. To explore associations between the 15 QLQ-C30 scales, Spearman-rank correlations were calculated. Following a similar approach as the one of Martinelli *et al.*, a cluster analysis was performed on the 15 QLQ-C30 scales. Subgroup analyses for each cancer type and selected patient characteristics were also performed, to examine the robustness of the results.

Agglomerative hierarchical cluster analysis was performed to explore the existence of homogenous groups among the 15 HRQoL scales in the overall dataset comprising baseline data, pooled across all cancer types. Cluster analysis seeks to partition the observations into distinct groups so that observations within each group are quite similar to each other, while observations in different groups are quite different from each other [20]. This technique assumes that each HRQoL scale is a cluster at the start, and then proceeds to merge the two most similar clusters and evaluate their similarity. This procedure is repeated in a hierarchical stepwise fashion until all scales are assembled into a single cluster. The similarity between various clusters was assessed via Ward's method which assumes that if two clusters are similar, then the between cluster sum of squares should be small. A tree-like representation of the clusters, a dendrogram, is produced for easier identification of the clusters. The earlier the cluster fusion on the dendrogram, the more similar the groups of observations are to each other [20].

The proportion of variance explained by the cluster, R^2 -value, was used to select the optimal number of clusters. The higher the R^2 -value, the higher the difference between clusters [20]. Based on the results of Martinelli *et al.*, pre-defined criteria for successful replication were set: three main clusters should emerge (physical, psychological and gastro-intestinal) with a minimum R^2 -value of 0.51. Internal consistency for each cluster was assessed using the Cronbach- α . Greater consistency is determined by higher values of the α -coefficient [21].

SAS version 9.4 was used to carry out all analyses.

3. Results

3.1. Data preparation

Baseline data from 24,658 patients were pooled from 55 closed randomised clinical trials. Of these, 3268 (13%) were excluded because of invalid baseline QoL forms, and 1324 (5%) were excluded because of missing QoL forms. A form was considered a valid baseline form if it was administered 2 weeks before or after randomisation, provided that it was collected before the start of treatment. Thus, the final analysis dataset consisted of 20,066 patients with complete baseline data (Fig. 1).

3.2. Descriptive results

Descriptive statistics for the clinical and socio-demographic patient characteristics collected at baseline are presented in Table 1. Of the 20,066 patients included in the analysis, 30% had metastatic disease and 47% had good WHO PS (=0). Descriptive statistics for the 15 HRQoL scales are shown in Table 2 for the overall dataset. The average score for the GHS scale across all patients was 65 (SD = 23). The worst average scores for the symptom scales were reported in fatigue (mean = 33, SD = 26). Patients reported the least impaired average symptom scores in diarrhoea (mean = 8, SD = 18).

Mean scores for HRQoL scales were also examined by patient characteristics (Table 2). The biggest difference in mean scores ranged from 10 to 21 points. These were observed between patients with good and poor WHO PS; specifically for role-functioning, the difference between good and poor WHO PS average score was 21 points. Patients with good WHO PS (=0) reported higher scores on functional scales and very low scores on symptom scales compared to patients with performance status scores ≥ 1 . Also, younger patients (≤ 60 years) reported a higher level of functioning and lower symptom scores than older patients. Patients with locally advanced and metastatic disease reported more impaired scores than early-stage diseased patients. Furthermore, patients who had received prior systemic treatment also reported more impaired scores than those who did not.

The average HRQoL scores were also compared across the different cancer types (Tables 3 and 4). On average, patients with melanoma reported higher scores on functioning scales and very low scores on symptoms scales, while patients with pancreatic cancer reported the most impaired scores in almost all the scales – worse than the other cancer types. Testicular and bladder patients reported higher average scores for pain. The strongest correlations were observed between fatigue and role-functioning (0.71) and between fatigue and physical-functioning (0.70). On the other hand, the

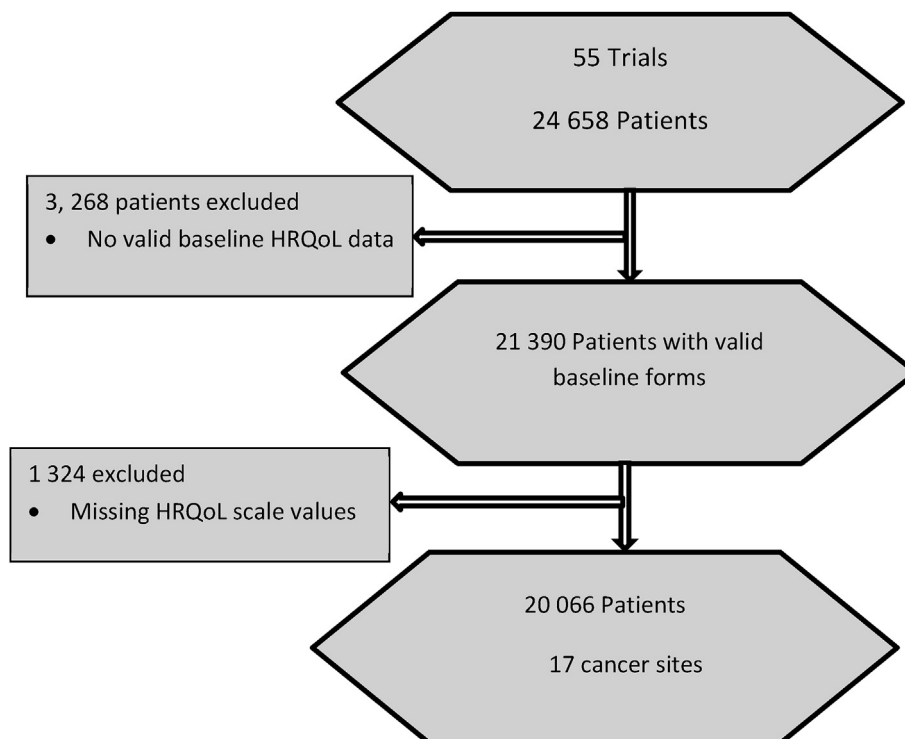


Fig. 1. Flowchart (Study selection). Baseline data from 24,658 patients were pooled from 55 closed randomised clinical trials. 13% (3268) were excluded because of invalid baseline QoL forms, and 5% (1324) were excluded because of missing QoL forms. A form was considered a valid baseline form if it was administered at 2 weeks before or after randomisation, provided that it was collected before start of treatment. Thus, the final analysis dataset consisted of 20,066 patients with complete baseline data.

Table 1
Patient socio-demographic and clinical characteristics.
Descriptive statistics for patient socio-demographic and clinical characteristics

Patient characteristic:	N	%
Age		
Median age	58	
Range	16.0–93.0	
≤60 years	11 856	55.4
>60 years	9534	44.6
Sex		
Male	8877	41.5
Female	12 513	58.5
WHO Performance Status		
0	9985	46.7
≥1	9864	46.1
	1541	7.2
Cancer type		
Lung	2829	13.2
Melanoma	873	4.1
Lymphoma	373	1.7
Testicular	283	1.3
Prostate	1819	8.5
Breast	5644	26.4
Brain	875	4.1
Bladder	254	1.2
Gastric	978	4.6
Pancreatic	537	2.5
Ovarian	2411	11.3
Endometrium	101	0.5
Sarcoma	445	2.1
Colorectal	1728	8.1
Anal	66	0.3
Head and Neck	346	1.6
Multiple Myeloma	998	4.7
Multiple sites	830	3.9
Disease stage		
Early	5012	23.4
Advanced	7064	33.0
Unknown	9314	43.5
Treatment status		
Systemic	1795	8.4
Non-systemic	4188	19.6
Unknown	7088	33.1
Metastatic disease		
Metastatic	6353	29.7
Non-metastatic	9224	43.1
Unknown	5813	27.2

lowest correlations were observed between diarrhoea and constipation (0.04) (Table 5).

3.3. Main results

Results from cluster analysis performed in the overall dataset are summarised in Fig. 2. As shown in the dendrogram, the first two similar clusters to be merged were role-functioning and fatigue, followed by physical-functioning. Overall, seven clusters were identified for an R^2 -value of 0.61. The three main clusters were identified and mirrored those presented by Martinelli *et al.*, namely *physical functioning-related* – includes role-functioning, physical-functioning, social-functioning,

fatigue, pain and GHS (Cronbach's $\alpha = 0.91$); *psychological functioning-related* – includes emotional-functioning, cognitive-functioning and insomnia (Cronbach's $\alpha = 0.68$); and *gastro-intestinal related* – includes nausea/vomiting and appetite loss (Cronbach's $\alpha = 0.63$). GHS scale was found to be part of the physical-functioning related cluster in the overall dataset (Fig. 2). Constipation, dyspnoea, diarrhoea and financial problems were each included as separate single-scale clusters.

This result was consistent across different levels of disease severity, age, gender, prior-treatment status, metastatic disease status and WHO PS (appendix Figs. 1–6). However, variations in the cluster structure were observed when looking at individual cancer types. All seven clusters including the three main clusters were reproduced in prostate, breast, gastric and sarcoma patient subgroups. In all the other cancer-type subgroups, the scales were mixed in different clusters but the cluster structure of the three main clusters was maintained (appendix Figs. 7–11).

4. Discussion

This study aimed to evaluate the robustness and generalisability of the exploratory findings by Martinelli *et al.* [15]. Given the current replication crisis in psychology and medical research, it is critical to validate the exploratory findings by Martinelli *et al.* who examined how the scales of the EORTC QLQ-C30 at baseline clustered among the treated patients with cancer. The study also checked whether the identified clusters were consistent across patients' clinical and socio-demographic characteristics, as well as across different cancer types. Our study successfully validated the key findings from the work of Martinelli, using independent data pooled from 55 trials that assessed HRQoL using the QLQ-C30. This implies that these findings remain consistent and provide support for the generalisability of these clusters across various cancer types.

The three main clusters originally identified were confirmed in our overall pooled dataset and were consistently observed across various subgroups. Diarrhoea was not included as part of the gastro-intestinal cluster. This was probably due to the low number of patients who experienced diarrhoea in the trials that were included in this study. This was in line with Martinelli's findings where no major differences in terms of cluster structure were found in most of the subgroups.

A secondary objective of this study was to find out which HRQoL scales were strongly linked to the global perception of GHS. GHS was found to be part of the physical-functioning related cluster in the overall dataset. The result was consistent across different levels of socio-demographic and clinical characteristics with minor differences by cancer type. This confirms that the HRQoL scales in the physical-functioning related

Table 2

Mean and standard deviation of baseline HRQoL scale scores in the overall population and by patients' baseline characteristics.

HRQoL Scale	Overall	Age group		Gender		WHO performance status		Metastatic disease		Systemic pre-treatment	
		All	≤60	≥60	Male	Female	0	≥1	Yes	No	Yes
All observations	20 066	11 042	9024	8536	11 530	9308	9276	6126	8874	5928	7867
Physical Functioning	79 (21)	83 (19)	75 (22)	78 (22)	80 (21)	87 (15)	70 (23)	75 (21)	84 (19)	75 (21)	86 (18)
Role Functioning	72 (31)	72 (31)	70 (32)	71 (31)	72 (31)	81 (26)	60 (33)	68 (31)	76 (30)	69 (30)	77 (30)
Emotional Functioning	73 (22)	71 (22)	75 (22)	76 (22)	71 (22)	76 (21)	71 (23)	73 (22)	73 (22)	76 (22)	71 (22)
Cognitive Functioning	83 (20)	85 (20)	83 (21)	85 (20)	83 (21)	87 (18)	80 (22)	84 (20)	85 (20)	85 (20)	85 (20)
Social Functioning	76 (28)	76 (27)	76 (28)	76 (27)	76 (28)	82 (23)	67 (30)	72 (28)	79 (26)	73 (28)	80 (26)
Global health status/QoL	65 (23)	67 (23)	62 (23)	63 (23)	66 (23)	72 (20)	55 (22)	60 (23)	69 (22)	62 (22)	69 (23)
Fatigue	33 (26)	31 (25)	34 (26)	33 (26)	33 (25)	24 (22)	43 (26)	38 (26)	28 (25)	37 (25)	28 (25)
Nausea/Vomiting	14 (19)	13 (19)	15 (20)	13 (19)	14 (20)	08 (15)	20 (22)	19 (20)	11 (18)	18 (20)	09 (17)
Pain	26 (28)	26 (28)	26 (29)	27 (29)	25 (27)	18 (23)	36 (31)	30 (29)	20 (25)	27 (28)	22 (26)
Dyspnea	17 (25)	15 (24)	20 (27)	20 (27)	15 (24)	11 (20)	25 (29)	24 (28)	13 (23)	24 (27)	12 (23)
Insomnia	29 (31)	31 (31)	27 (30)	27 (30)	31 (31)	26 (29)	33 (32)	30 (31)	28 (30)	27 (29)	30 (31)
Appetite loss	19 (29)	18 (27)	21 (30)	20 (30)	19 (28)	11 (22)	28 (33)	27 (31)	15 (26)	22 (29)	17 (28)
Constipation	15 (26)	13 (24)	17 (28)	15 (26)	15 (26)	11 (22)	21 (30)	19 (28)	12 (24)	17 (27)	12 (24)
Diarrhea	08 (18)	08 (18)	08 (18)	08 (18)	08 (18)	07 (16)	09 (19)	09 (19)	07 (17)	08 (18)	08 (18)
Financial Problems	18 (28)	22 (31)	12 (24)	18 (29)	17 (28)	16 (27)	21 (30)	19 (29)	15 (27)	19 (29)	15 (27)

The QLQ-C30 scales are scored according to a standard scoring manual [19], with the scores for each scale ranging from 0 to 100. For the functioning scales and global health status, higher scores represent a higher degree of functioning, translating to a better outcome. The higher the score for symptom scales, the higher the level of symptom burden.

Table 3

Mean and standard deviation of baseline HRQoL scale scores in the overall population and by disease site.

HRQoL Scale	Cancer Type: Mean scores (Standard Deviation)										
	All	Lung	Melanoma	Lymphoma	Testicular	Prostate	Breast	Brain	Bladder	Gastric	Pancreatic
All observations	20 066	2734	861	355	271	1756	4992	825	232	924	516
Physical Functioning	79 (21)	72 (21)	92 (12)	77 (22)	82 (23)	81 (20)	88 (15)	79 (23)	70 (26)	81 (19)	75 (21)
Role Functioning	72 (31)	67 (31)	84 (24)	57 (34)	57 (35)	82 (26)	82 (25)	65 (33)	58 (37)	73 (29)	60 (32)
Emotional Functioning	73 (22)	73 (23)	82 (18)	67 (24)	67 (23)	81 (19)	70 (21)	73 (23)	65 (27)	74 (23)	67 (24)
Cognitive Functioning	83 (20)	84 (20)	93 (13)	84 (21)	86 (19)	86 (18)	85 (19)	70 (27)	80 (25)	85 (20)	80 (22)
Social Functioning	76 (28)	73 (27)	88 (20)	71 (30)	68 (31)	86 (22)	83 (23)	68 (30)	69 (33)	75 (27)	66 (30)
Global health status/QoL	65 (23)	60 (22)	78 (18)	55 (23)	58 (23)	70 (22)	73 (20)	63 (23)	50 (25)	61 (22)	54 (23)
Fatigue	33 (26)	39 (25)	15 (18)	50 (26)	40 (28)	24 (23)	24 (21)	34 (25)	45 (31)	36 (26)	45 (26)
Nausea/Vomiting	14 (19)	22 (20)	1 (6)	10 (18)	12 (19)	4 (13)	8 (15)	13 (17)	12 (22)	15 (22)	21 (21)
Pain	26 (28)	26 (28)	12 (20)	34 (32)	44 (34)	21 (26)	19 (23)	13 (21)	40 (36)	25 (26)	38 (30)
Dyspnea	17 (25)	32 (29)	5 (14)	31 (30)	17 (26)	14 (22)	9 (19)	11 (21)	19 (27)	15 (23)	17 (25)
Insomnia	29 (31)	27 (30)	18 (25)	49 (36)	38 (35)	23 (28)	30 (29)	26 (32)	39 (37)	27 (30)	34 (31)
Appetite loss	19 (29)	24 (31)	3 (12)	29 (33)	32 (33)	10 (23)	11 (21)	10 (22)	36 (37)	29 (33)	42 (35)
Constipation	15 (26)	17 (27)	4 (13)	16 (27)	17 (28)	13 (24)	9 (20)	13 (25)	34 (36)	18 (26)	26 (33)
Diarrhea	08 (18)	6 (16)	6 (15)	9 (19)	8 (18)	6 (15)	6 (15)	5 (14)	8 (20)	13 (24)	15 (25)
Financial Problems	18 (28)	22 (31)	14 (26)	23 (34)	23 (32)	9 (21)	18 (28)	14 (27)	13 (26)	25 (31)	21 (29)

cluster have a stronger link to the patient's perception of their overall quality-of-life compared to scales in other clusters. These findings were also observed by Martinelli *et al.*, overall and by patient subgroups.

Results from this study are informative for clinical research. These findings allow us to have a better understanding of how these multidimensional HRQoL scales or outcomes are related to each other. If one scale is impacted, it is likely that another scale in the same cluster is also impacted. One of the three main clusters identified by Martinelli and validated in our project group included insomnia together with cognitive and emotional functioning. Therefore, sleeplessness may serve as a screening

indicator for more structural underlying depression that is less easily elucidated. Rather than treating only the insomnia problem with medication, a more in-depth assessment of the patient emotional status could be advised.

These findings may also be relevant for clinical trial design. As QLQ-C30 scales from the same cluster have high intercorrelation, such scales should not be treated as independent outcomes. This is often the case currently when analyzing QLQ-C30 scales applying harsh multiplicity corrections. Applying a decision rule that would be based on pre-set conditions for scales within a cluster being fulfilled, may be more applicable and can result in a

Table 4

Mean and standard deviation of baseline HRQoL scale scores in the overall population and by disease site (continuation).

HRQoL Scale	Ovarian	Endometrium	Sarcoma	Colorectal	Anal	Head and Neck	Multiple Myeloma	Multiple sites
All observations	2270	92	425	1693	60	330	919	811
Physical Functioning	75 (22)	75 (22)	77 (22)	79 (19)	82 (20)	87 (17)	70 (24)	60 (27)
Role Functioning	59 (34)	64 (33)	71 (31)	74 (28)	74 (29)	81 (26)	63 (33)	50 (34)
Emotional Functioning	69 (24)	67 (24)	74 (23)	79 (19)	63 (24)	72 (23)	74 (23)	68 (23)
Cognitive Functioning	81 (21)	83 (21)	85 (19)	87 (17)	78 (25)	89 (17)	81 (22)	76 (24)
Social Functioning	67 (31)	76 (27)	75 (28)	74 (26)	78 (26)	86 (21)	70 (30)	63 (31)
Global health status/ QoL	59 (23)	64 (23)	64 (23)	63 (22)	63 (23)	61 (22)	58 (23)	53 (24)
Fatigue	41 (26)	35 (27)	33 (25)	35 (24)	33 (26)	26 (24)	40 (26)	47 (28)
Nausea/Vomiting	17 (23)	10 (18)	7 (15)	19 (18)	5 (14)	4 (13)	12 (18)	34 (23)
Pain	29 (28)	30 (29)	29 (30)	27 (26)	33 (32)	28 (25)	38 (32)	56 (32)
Dyspnea	19 (27)	14 (26)	20 (27)	21 (25)	12 (24)	13 (22)	21 (27)	25 (30)
Insomnia	35 (32)	35 (32)	26 (29)	25 (28)	39 (33)	27 (30)	30 (32)	39 (33)
Appetite loss	25 (33)	27 (30)	17 (27)	24 (29)	18 (26)	22 (30)	21 (29)	27 (33)
Constipation	23 (31)	24 (33)	15 (26)	15 (25)	23 (33)	15 (25)	16 (27)	24 (32)
Diarrhea	10 (21)	9 (20)	6 (16)	11 (21)	8 (15)	4 (13)	9 (18)	8 (20)
Financial Problems	14 (26)	14 (26)	19 (29)	17 (27.)	15 (24)	18 (28)	21 (30)	20 (30)

reduced sample size. In addition, the identified clusters can help in the selection of scales from the QLQ-C30 as primary endpoints for a clinical trial. These findings may also aid clinicians and cancer patients to manage symptoms and symptom burden by understanding which patient problems are more likely to affect a patient’s HRQoL [10]. The focus will not only be in understanding individual patient symptoms but also understanding all the symptoms that occur together.

This study is a validation study and has some limitations. Missing values are a common problem in HRQoL research. We observed 5% incomplete data in the overall dataset. These were patients who did not fill in all the items in the HRQoL form. A complete case analysis strategy was used to handle missing data. The data used in this study were retrieved from clinical trial databases, where not all data may have been available due to data sharing restrictions (e.g., unknown results; 43.5% on disease stage,

33% treatment status, 27% metastatic status). Furthermore, the data used in this study originate from controlled clinical trials, each with specific patient selection and treatment criteria. This may restrict the generalisability of the observed findings to patients not covered by the included clinical trials. It also limits investigation into differences between the various disease sites with some having only a few trial data available (e.g. anal cancer (n = 66) and endometrial cancer (n = 101)).

Our study only assessed HRQoL clusters at baseline. However, it may be interesting to investigate if the observed results are consistent at different assessment time points. Selecting a uniform follow-up timepoint in a study like ours that pooled data from multiple studies with varying assessment schedules remains a challenge. Clusters were explored using hierarchical cluster analysis. Other methodologically stronger statistical techniques could be explored to support the findings of this

Table 5

Spearman Rank Correlations for HRQoL scales.

Scale	PF	RF	EF	CF	SF	QL	FA	NV	PA	DY	SI	AP	CO	DI	FI
Physical Functioning	1.000														
Role Functioning	0.703	1.000													
Emotional Functioning	0.331	0.386	1.000												
Cognitive Functioning	0.400	0.397	0.472	1.000											
Social Functioning	0.553	0.650	0.449	0.425	1.000										
Global health status/QoL	0.603	0.611	0.446	0.403	0.563	1.000									
Fatigue	0.703	0.711	0.474	0.478	0.606	0.665	1.000								
Nausea/Vomiting	0.597	0.499	0.288	0.302	0.426	0.451	0.530	1.000							
Pain	0.586	0.618	0.402	0.366	0.507	0.561	0.626	0.466	1.000						
Dyspnea	0.471	0.402	0.238	0.264	0.307	0.389	0.474	0.345	0.314	1.000					
Insomnia	0.295	0.329	0.431	0.330	0.317	0.344	0.423	0.242	0.390	0.217	1.000				
Appetite loss	0.449	0.447	0.352	0.305	0.392	0.481	0.567	0.463	0.439	0.308	0.310	1.000			
Constipation	0.326	0.305	0.249	0.261	0.272	0.319	0.360	0.277	0.360	0.185	0.228	0.328	1.000		
Diarrhea	0.134	0.142	0.150	0.146	0.149	0.149	0.201	0.161	0.140	0.121	0.124	0.183	0.040	1.000	
Financial Problems	0.234	0.257	0.262	0.225	0.362	0.251	0.277	0.213	0.271	0.157	0.196	0.171	0.145	0.087	1.000

AP, appetite loss; CF, cognitive functioning; CO, constipation; DI, diarrhea; DY, dyspnea; EF, emotional functioning; FA, fatigue; NV, nausea/vomiting; PA, pain; PF, Physical functioning, QL, global quality of life; RF, role functioning; SF, social functioning; SI, insomnia; FI, financial problems.

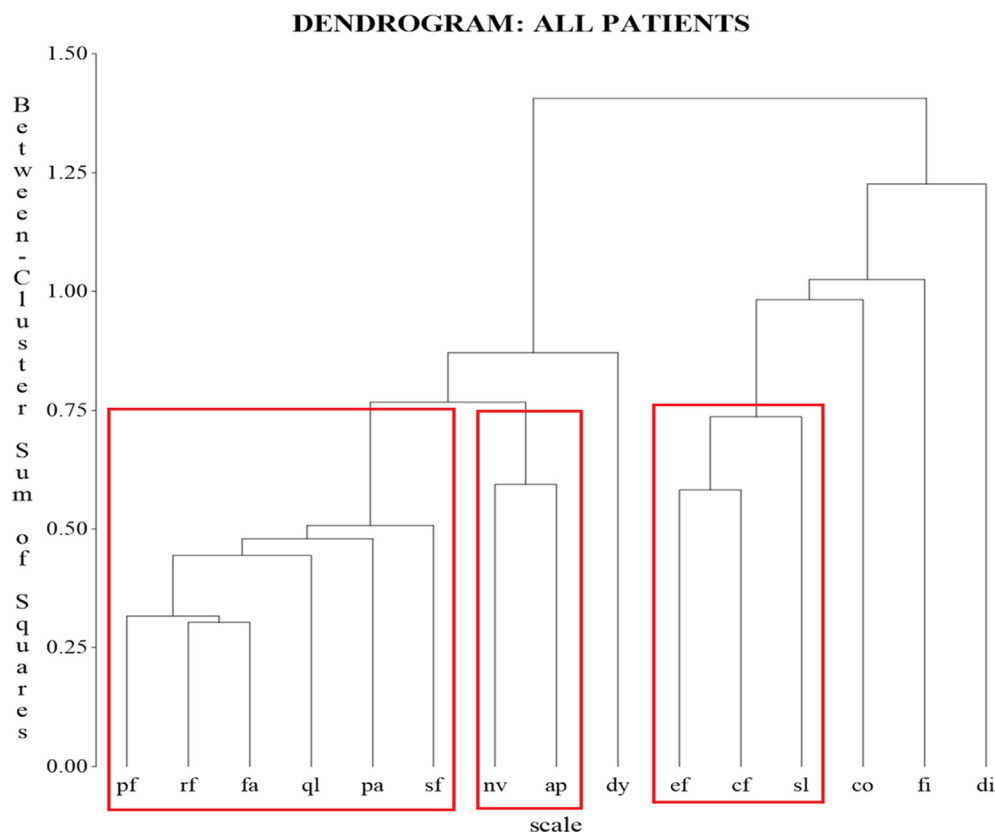


Fig. 2. **Dendrogram overall dataset.** AP, appetite loss; CF, cognitive functioning; CO, constipation; DI, diarrhea; DY, dyspnea; EF, emotional functioning; FA, fatigue; NV, nausea/vomiting; PA, pain; PF, Physical functioning, QL, global quality of life; RF, role functioning; SF, social functioning; SL, insomnia; FI, financial problems. The three main clusters were identified in the overall dataset i.e., physical-related, psychological-related, and gastro-intestinal cluster. For consistency in direction, before performing the cluster analysis, the functional scales were reversed to match the direction of the symptom scales so that a lower score represents a higher level of functioning. The scales that were consistent in these three main clusters includes (i) physical functioning, role functioning, fatigue, global quality of life, and pain - *physical-related cluster*, (ii) emotional functioning, cognitive functioning, and insomnia - *psychological-related cluster* and (iii) appetite loss and nausea/vomiting - *gastro-intestinal cluster*. The remaining scales were mostly in single-item clusters.

study. For example, Gundy *et al.* used higher-order models for the QLQ-C30 HRQoL to compare the statistical fitness of the six alternative models using confirmatory factor analysis in a large sample of patients [22]. However, this could be considered in the future as this is beyond the scope of this study.

In conclusion, our results confirm the tendency of certain HRQoL issues to occur together and validate the prior findings from Martinelli's study. Improving our understanding of how these multidimensional scales are related can help clinicians and patients with cancer to better manage symptom burden, guide policymakers in defining social support plans and inform the selection of HRQoL scales in future clinical trials.

Funding

This study was funded by an academic grant from the EORTC Quality of Life Group (Grant Number: EORTC CATAPULT 1619). Abigail Machingura's work as a Fellow at EORTC Headquarters was supported by a grant from the EORTC Cancer Research Fund (ECRF).

Ethical approval

This research project was checked by The Ethics Committee Hospitalo-Facultaire Saint-Luc UCL. The use of the patient data from the various studies fell under their original informed consent wording, hence, no additional consent was needed. The original studies were conducted in compliance with the Declaration of Helsinki.

Conflict of interest statement

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this article.

Acknowledgements

The authors are thankful to the EORTC Headquarters, EORTC Clinical Groups (Quality of Life Group, Brain Tumor, Breast Cancer, Melanoma Group, Lung Cancer, Soft Tissue and Bone Sarcoma, Lymphoma,

Gastrointestinal Tract Cancer, Head and Neck Cancer, Genito-Urinary Cancers, and Gynecological Cancer Groups) and the principal investigators involved in the various EORTC trials. The authors are also grateful to Project Data Sphere, Mayo Clinic and Canadian Clinical Trials Group for contributing data to this project. Thanks to Paul Novotny for facilitating the preparation and transfer of data from Mayo Clinic. Finally, special thanks to all the patients who participated in the trials used in this study.

Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.ejca.2022.03.039>.

References

- [1] Fan G, Filipczak L, Chow E. Symptom clusters in cancer patients: a review of the literature. *Curr Oncol* 2007;14(5):173–9. <https://doi.org/10.3747/co.2007.145>.
- [2] Olschewski M, Schumacher M. Statistical analysis of quality-of-life data in cancer clinical trials. *Stat Med* 1990;9:749–63. <https://doi.org/10.1002/sim.4780090705>.
- [3] Fiteni F, Westeel V, Pivot X, Borg C, Vernerey D, Bonnetain F. Endpoints in cancer clinical trials. *J Visceral Surg* 2014;151(1):17–22. <https://doi.org/10.1016/j.jvisc.2013.10.001>.
- [4] Anota A, Hamidou Z, Paget-Bailly S, et al. Time to health-related quality of life score deterioration as a modality of longitudinal analysis for health-related quality of life studies in oncology: do we need RECIST for quality of life to achieve standardization? *Qual Life Res* 2015;24(1):5–18. <https://doi.org/10.1007/s11136-013-0583-6>.
- [5] Bottomley A, Pe M, Sloan J, et al. Analyzing data from patient-reported outcome and quality of life endpoints for cancer clinical trials: a start in setting international standards. *Lancet Oncol* 2016;17(11):e510–4. [https://doi.org/10.1016/S1470-2045\(16\)30510-1](https://doi.org/10.1016/S1470-2045(16)30510-1).
- [6] Fayers PM, Hopwood P, Harvey A, Girling DJ, Machin D. Quality of life assessment in clinical trials-guidelines and a checklist for protocol writers. *UK Med Res Councl Exp* 1997;33:20–8. [https://doi.org/10.1016/S0959-8049\(96\)00412-1](https://doi.org/10.1016/S0959-8049(96)00412-1).
- [7] Calvert M, Kyte D, Mercieca-Bebber R, et al. Guidelines for inclusion of patient-reported outcomes in clinical trial protocols: the SPIRIT-PRO extension. *JAMA* 2018;319(5):483–94. <https://doi.org/10.1001/jama.2017.21903>.
- [8] Coens C, Pe M, Dueck AC, et al. International standards for the analysis of quality-of-life and patient-reported outcome endpoints in cancer randomized controlled trials: recommendations of the SISAQOL Consortium. *Lancet Oncol* 2020;21(2):e83–96. [https://doi.org/10.1016/s1470-2045\(19\)30790-9](https://doi.org/10.1016/s1470-2045(19)30790-9).
- [9] Matzka M, Köck-Hódi S, Jahn P, et al. Relationship among symptom clusters, quality of life, and treatment-specific optimism in patients with cancer. *Support Care Cancer* 2018;26:2685–93. <https://doi.org/10.1007/s00520-018-4102-8>.
- [10] Walsh D, Rybicki L. Symptom clustering in advanced cancer. *Support Care Cancer* 2006;14(8):831–6. <https://doi.org/10.1007/s00520-005-0899-z>.
- [11] Chow E, Fan G, Hadi S, Wong J, Kirou-Mauro A, Filipczak L. Symptom clusters in cancer patients with brain metastases. *Clin Oncol* 2008;20(1):76–82. <https://doi.org/10.1016/j.clon.2007.09.007>.
- [12] Fan G, Filipczak L, Chow E. Symptom clusters in cancer patients: a review of the literature. *Curr Oncol* 2007;14(5):173–9. <https://doi.org/10.3747/co.2007.145>.
- [13] Ediebah DE, Coens C, Zikos E, et al. Does change in health-related quality of life score predict survival? Analysis of EORTC 08975 lung cancer trial. *Br J Cancer* 2014 May 13;110(10):2427–33. <https://doi.org/10.1038/bjc.2014.208>. Epub 2014 Apr 17. PMID: 24743709; PMCID: PMC4021536.
- [14] Fiteni F, Vernerey D, Bonnetain F, et al. Prognostic value of health-related quality of life for overall survival in elderly non-small-cell lung cancer patients. *Eur J Cancer* 2016 Jan;52:120–8. <https://doi.org/10.1016/j.ejca.2015.10.004>. Epub 2015 Dec 10. PMID: 26682871.
- [15] Martinelli F, Quinten C, Maringwa JT, et al. Examining the relationships among health-related quality-of-life indicators in cancer patients participating in clinical trials: a pooled study of baseline EORTC QLQ-C30 data. *Expert Rev Pharmacoecon Outcomes Res* 2011;11(5):587–99. <https://doi.org/10.1586/erp.11.51>.
- [16] Project data Sphere. <https://www.projectdatasphere.org/>.
- [17] Aaronson NK, Ahmedzai S, Bergman B, et al. The European Organization for Research and Treatment of Cancer QLQ-C30: a quality-of-life instrument for use in international clinical trials in oncology. *J Natl Cancer Inst* 1993;85:365e376. <https://doi.org/10.1093/jnci/85.5.365>.
- [18] Koller M, Aaronson NK, Blazeby J, et al. Translation procedures for standardised quality of life questionnaires: the European Organisation for Research and Treatment of Cancer (EORTC) approach. *Eur J Cancer* 2007;43:1810–20. <https://doi.org/10.1016/j.ejca.2007.05.029>.
- [19] Fayers PM, Aaronson NK, Bjordal K, Groenvold M, Curran D, Bottomley A, on behalf of the EORTC Quality of Life Group. The EORTC QLQ-C30 scoring manual. 3rd ed. Brussels, Belgium: European Organisation for Research and Treatment of Cancer; 2001 [EORTC scoring manual].
- [20] James Gareth M, Hastie Trevor, Witten Daniela, Tibshirani Robert. In: *An introduction to statistical learning: with applications in R*, vol. 103. Springer; 2013, ISBN 978-1-4614-7137-0. p. 385–410.
- [21] Yu CH. *An introduction to computing and interpreting Cronbach Coefficient Alpha in SAS*. 2001.
- [22] Gundy CM, Fayers PM, Groenvold M, et al. Comparing higher order models for the EORTC QLQ-C30. *Qual Life Res* 2012 Nov;21(9):1607–17. <https://doi.org/10.1007/s11136-011-0082-6>. Epub 2011 Dec 21. PMID: 22187352; PMCID: PMC3472059.